

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
 Applicants: Kenichi Nishiuchi et al.
 Filed: August 4, 2003
 Title: BASE PLATE FOR OPTICAL RECORDING MEDIUM, OPTICAL RECORDING MEDIUM, MASTER PLATE, MASTER PLATE RECORDING APPARATUS AND SIGNAL GENERATING APPARATUS.
 TC/A.U.:
 Examiner:
 Docket No.: MTS-3216US1

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
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 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No. 09/702,204, filed October 31, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

RatnerPrestia



Allan Ratner, Reg. No. 19,717
Attorney for Applicants

AR/kc

Enclosure: PTO Form 1449

Dated: August 4, 2003

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Kathleen Libby

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number

To Be Assigned

Filing Date

August 1, 2003

First Named Inventor

Kenichi Nishiuchi

Art Unit

Examiner Name

SHEET 1 of 1

Attorney Docket No.

MTS-3216US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If known)			
	MT	US-6,343,062	01/2002	Furukawa et al.	
		US-6,172,960	01/2001	Takemura et al.	
		US-6,118,752	09/2000	Miyagawa et al.	
		US-6,091,700	07/2000	Kobayashi, Shoei	
		US-6,091,699	07/2000	Nakane et al.	
		US-6,064,643	05/2000	Tanoue et al.	
		US-5,933,411	08/1999	Inui et al.	
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FOREIGN PATENT DOCUMENTS

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²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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